

## FINANCIAL ASSURANCE COST ESTIMATE FOR

\_\_\_\_\_  
(Mine Name)

CA Mine ID # 91-\_\_\_\_\_

Reclamation Plan #/Name\_\_\_\_\_

<b>Prepared by: (Name &amp; Affiliation):</b>
_____
_____
_____
_____
Date: _____

<b>This financial assurance cost estimate prepared and submitted pursuant to (choose one):</b>
<input type="checkbox"/> A new or amended reclamation plan approved on (Date):_____
<input type="checkbox"/> An annual mine inspection performed on (Date): _____
<input type="checkbox"/> Other: Please Specify: _____

Most Recent Approved Financial Assurance Cost Estimate

Date: \_\_\_\_\_

Amount: \$ \_\_\_\_\_

Amount of existing Financial Assurance Mechanism(s)

Date: \_\_\_\_\_

Amount: \$ \_\_\_\_\_

**I. SUPPORTING DOCUMENTS**

This estimate represents the cost of conducting and completing reclamation in accordance with the Surface Mining and Reclamation Act (SMARA) and the following supporting documents:

**Reclamation Plan Approval Date and Number**

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**Permits and/or Environmental Documents Approved as, or Conditioned upon, the Reclamation Plan**

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**Other Agency Financial Assurances Securing Reclamation of Disturbed Lands**

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**Wage Rates used in Cost Estimate\*** *(cost estimates are required to use current 'General prevailing wage determinations made by the director of industrial relations' where applicable (<http://www.dir.ca.gov/OPRL/PWD/index.htm>) with employer ~~labor burden~~ labor surcharge added, or greater)*

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**Equipment Rates used in Cost Estimate\*** *(Use current 'Labor Surcharge and Equipment Rental Rates (Cost of Equipment Ownership)' equipment rates published by Caltrans (<http://www.dot.ca.gov/hq/construc/equipmnt.html>) or other publicly available and verifiable local rates)*

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**Equipment Production Rates used in Cost Estimate** *(Use of current Caterpillar Performance Handbook or equivalent published production rates is required)*

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\* Many mine sites are remote projects that require hours of travel (to and from) and sometimes require additional time to prepare for even the simplest of tasks. In accordance with Labor Code Sections 1773.1 and 1773.9, contractors are required to make travel and/or subsistence (per diem) payments to each worker to execute the work. These arrangements can be quite variable and site specific.

**Attachments:**

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**II. Description of Current Site Conditions**

*(i.e., disturbed acres, slope conditions, excavation depths, topsoil and overburden stockpiles, equipment and facilities, reclamation in progress, erosion control status, required corrective actions, etc.)*

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**III. Description of Anticipated Site Conditions (12 months from date of estimate)**

*(i.e., increase of disturbed acres, increase of depth, increases in amount of equipment and/or facilities, required corrective actions, etc.)*

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**IV. Description/Justification of Cost Increase/Decrease**

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**V. PLANT STRUCTURES AND EQUIPMENT REMOVAL** *(use multiple sheets as needed)*

*Provide documentation showing that rates, prices, and wages are available locally to all persons, including the lead agency and/or the Department.*

Current Site Condition:

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Reclamation Plan Performance Standard (End Use):

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Describe tasks:

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Equipment on site wholly owned by operator?:  YES  NO

*(If no, please provide the name/s and contact information for any lien holder)*

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*(add additional pages as needed)*

**V. PLANT STRUCTURES AND EQUIPMENT REMOVAL** (use multiple sheets as needed)

Methods to be used:

- A. Equipment – List equipment required to complete identified task. *(for large reclamation project sites or separate mine areas) For large reclamation projects, separate mine areas.*

Equipment	Unit of Measure	\$/Unit	# of Units	Cost (\$)

Total Equipment Cost for this Task = \$ \_\_\_\_\_

- B. Labor – List all labor categories to complete identified task

Labor Category	\$/Hour \$/Unit <small>(prevailing wage) (incl labor burden)</small>	Labor Surcharge/Hr (where applicable) <small>(enter % of wage)</small>	# of Hours # of Units	Cost (\$)
		%		

Total Labor Cost for this Task = \$ \_\_\_\_\_

- C. Demolition – List all structures and equipment to be dismantled or demolished and removed from site

Structure/Equipment to be Removed	Type of Material	Volume/ Quantity	Unit Cost Basis	Disposal Cost	Cost (\$)

Total Materials Cost for this Task = \$ \_\_\_\_\_

- D. Total Direct Cost of Structure and Equipment Removal (Sum of Total A.+B.+C)

Equipment Costs + Labor Cost + Demolition Cost = \$ \_\_\_\_\_

- E. Net Salvage Value\* (Supported by properly prepared third party estimate, bid, or cost calculation.)

Net Salvage Value = \$ \_\_\_\_\_

- F. Total Cost of Structure and Equipment Removal (Subtract Line D from Line E)

Total Cost of Structure and Equipment Removal = \$ \_\_\_\_\_

\*NOTE: Salvage value may only be used to offset the direct cost of removing the single item for which salvage value is being claimed. Salvage value shall not be used to offset any other demolition, general cleanup, or reclamation costs.

**VI. PRIMARY RECLAMATION ACTIVITY** ( \_\_\_\_\_ )

*Describe Reclamation Activity Being Estimated*

*Use multiple sheets as necessary to estimate the cost of each activity required. Provide documentation showing that rates, prices, and wages are available locally to the lead agency and/or the Department if necessary.*

Current Site Conditions:

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Reclamation Plan Performance Standard (End Use):

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Describe tasks, methods, equipment, etc.:

*Decompaction, cut, fill, haul, slope reduction, compaction, grading, topsoil placement, drainage work, soil amendments, special requirements, etc. Separate sheets may be used for each task if necessary.*

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Provide quantities:

*Overburden and topsoil, cut and fill, import or export (cubic yards), area (acres), haul distances (feet), equipment production rates (cubic yards/hour, or as applicable), etc.*

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*(add additional pages as needed)*

**VI. PRIMARY RECLAMATION ACTIVITY** ( \_\_\_\_\_ ) *(use multiple sheets as needed)*  
 (Describe Reclamation Activity Being Estimated) *(Cont-)*

Acres:		Overburden (cy):	
Haul Distance (ft):		Topsoil (cy):	
Production Rate (cy/hr):			

Methods to be used:

A. Equipment – List equipment required to complete identified task. *(for large reclamation jobs separate mine areas)* For large reclamation projects, separate mine areas.

Equipment	Unit of Measure	\$/Unit	# of Units	Cost (\$)

Total Equipment Cost for this Task = \$ \_\_\_\_\_

B. Labor – List all labor categories to complete identified task

Labor Category	\$/Hour \$/Unit <i>(prevailing wage) (incl labor burden)</i>	Labor Surcharge/Hr <i>(where applicable) (enter % of wage)</i>	%	# of Hours # of Unit	Cost (\$)

Total Labor Cost for this Task = \$ \_\_\_\_\_

C. Materials – List all materials required to complete identified task

Item	\$/Unit <i>(incl sales tax)</i>	Sales tax <i>(enter local rate in %)</i>	%	Quantity	Cost (\$)

Total Materials Cost for this Task = \$ \_\_\_\_\_

D. Total Direct Cost for this Task

Equipment Costs + Labor Cost + Materials Cost = \$ \_\_\_\_\_

**VII. REVEGETATION** *(use multiple sheets as needed)*

*Provide documentation showing that rates, prices, and wages are available locally to all persons, including the lead agency and/or the Department.*

**Current Site Condition:**

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**Reclamation Plan Performance Standard (End Use):**

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**Describe tasks:**

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**VII. REVEGETATION** (cont.) (use multiple sheets as needed)

Methods to be used:

- A. Equipment – List equipment required to complete identified task. For large reclamation jobs projects, separate mine areas.

Equipment	Unit of Measure	\$/Unit	# of Units	Cost (\$)

Total Equipment Cost for this Task = \$ \_\_\_\_\_

- B. Labor – List all labor categories to complete identified task.

Labor Category	\$/Hour \$/Unit (prevailing wage) (incl labor burden)	Labor Surcharge/Hr (where applicable) (enter % of wage)	# of Hours # of Unit	Cost (\$)
		%		

Total Labor Cost for this Task = \$ \_\_\_\_\_

- C. Materials – List all materials required to complete identified task

Item/Plant Species	Unit of measure	\$/Unit (incl sales tax)	Sales tax (enter local rate in %)	Quantity	Cost (\$)
			%		

Total Materials Cost for this Task = \$ \_\_\_\_\_

- D. Total Direct Cost for this Task

Equipment Costs + Labor Cost + Materials Cost = \$ \_\_\_\_\_

FACE-1 (01/17) (06/18) Page \_\_\_ of \_\_\_

**VIII. MISCELLANEOUS COSTS** *(use multiple sheets as needed)*

*Provide documentation showing that rates, prices, and wages are available locally to all persons, including the lead agency and/or the Department.*

Examples of this type of cost may include temporary storage of equipment and materials off site, special one-time permits (i.e. transportation permits for extra wide overweight loads, etc.), decommissioning a process mill (i.e. decontamination of equipment), disposal of warehouse inventories, well abandonment, remediation of fueling and waste oil storage sites, septic system removal, costs to prepare closure and monitoring reports, site security, preserving potable water and maintaining utilities, etc.

Item / Task	Quantity	\$/Unit	Cost (\$)

Total Miscellaneous Costs = \$ \_\_\_\_\_

**IX. MONITORING COSTS**

Monitoring Task	\$/Visit	# of Visits/Year	# of Monitoring Years	Cost (\$)

Total Monitoring Costs = \$ \_\_\_\_\_

### X. SUMMARY OF COSTS

This section shall be used to summarize all the cost sheets in one place.

(V) Total of all Plant Structures & Equipment Removal Costs	\$
(VI) Total of all Primary Reclamation Activities Costs	\$
(VII) Total of all Revegetation Costs	\$
(VIII) Total of all Miscellaneous Costs	\$
(IX) Total of all Monitoring Costs	\$
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Total of Direct Costs	\$

### XI. Supervision / Profit & Overhead / Contingencies / Mobilization

(A) Supervision (_____ %)	\$
(B) Profit/Overhead (_____ %)	\$
(C) Contingencies (_____ %)	\$
(D) Mobilization (_____ %)	\$
	<hr/>
Total of Indirect Costs	\$
Total of Direct and Indirect Costs	\$
(E) Lead Agency and/or Dept. of Conservation Administrative Costs	\$
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<b>Total Estimated Cost of Reclamation</b>	<b>\$</b>
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STATE OF CALIFORNIA

OFFICE OF THE ASSISTANT ATTORNEY GENERAL  
FISCAL SERVICES DIVISION

STATE OF CALIFORNIA  
DEPARTMENT OF REVENUE  
OFFICE OF THE ASSISTANT ATTORNEY GENERAL  
FISCAL SERVICES DIVISION

XI Supervision, Profit & Overhead, Contingencies & Allocation

\$	(A) Supervision ( )
\$	(B) Profit/Overhead ( )
\$	(C) Contingencies ( )
\$	(D) Allocation ( )

Total of Direct Costs  
Total of Indirect Costs  
(E) - All Above Costs

Total Estimated Cost of Installation