

DEPARTMENT OF CONSERVATION CONFLICT OF INTEREST CODE AMENDMENTS

Disclosure Category Assignments Narrative

(Updated)

The following changes were made to the original proposal:

- The classification for "Senior Engineering Geologist" was removed, all Engineering Geologists are merged into "Engineering Geologist (all levels)"
- Associate Oil and Gas Engineer classifications in the Geologic Energy Management Division (CalGEM) will not be required to disclose under Category 7, as proposed. This classification will retain the current reporting of Category 4.

(Original)

Executive Division	<p>The new <u>Chief Policy and Planning Advisor (Exempt)</u>, <u>Natural and Working Lands Policy Advisor (CEA)</u>, <u>Research Data Supervisor II</u>, and <u>Research Data Specialist (II and III)</u> are assigned to the broadest disclosure category, Category 1.</p> <p>The incumbents work closely with the Director, Chief Deputy, and Division Directors, and will frequently plan, implement, and support advanced scientific and data related projects to support DOC programs.</p> <p>The incumbents have broader and more indefinable duties, which may at times involve decisions that could affect real property.</p> <p>Category 1 is appropriate because the incumbents will make or participate in making decisions that may have a material effect on the broadest array of financial interests.</p>
Executive Division	<p>The <u>Staff Services Manager (all levels) (EEO Officer)</u> assignment is changed from Category 1 to Category 2 The EEO staff conduct investigations related to discrimination and/or to employment related issues, such as workplace misconduct. These staff are not involved with programmatic decision making. Full disclosure (i.e., Category 1) is not required because the incumbents do not participate in substantive decisions related to real property.</p>

Executive Division	<p>The <u>Members, State Mining and Geology Board (Exempt)</u>; <u>Executive Officer / Special Representative, State Mining and Geology Board (Exempt)</u>; <u>Senior Engineering Geologist, State Mining and Geology Board</u>; and <u>Staff Services Analyst, State Mining and Geology Board</u> have been moved to the end under a different category but have not been deleted or changed. The purpose of the chart reorganization is to distinguish between the Department of Conservation and the State Mining and Geology Board which is housed within DOC but largely independent.</p> <p>The <u>Engineering Geologist</u> has been added to this list and assigned to Categories 2,3,4,5,6, and 7. Please see the end of this document for the explanation.</p>
Executive Division	<p>The <u>Administrative Assistant</u> is assigned to Category 2.</p> <p>The incumbent works on research assignments upon which higher-level Department decisions and recommendations may be based.</p> <p>Category 2 requires disclosure of financial interests in investments, business positions, and income from any business entity that provides services, supplies, materials, machinery, or equipment of the type used by the Department.</p> <p>Full disclosure (<i>i.e.</i>, Category 1) is not required because the incumbent's job duties are not directly related to programmatic decisions.</p>
Division of Mine Reclamation	<p>The <u>Supervisor of Mine Reclamation (CEA)</u> has been converted to a CEA position and is no longer exempt as a gubernatorial appointment.</p>
Division of Mine Reclamation	<p>The <u>Staff Management Auditor</u> has been deleted because this classification is no longer utilized by the Division.</p>
Legal Office	<p>The <u>Assistant Chief Counsel</u> position is not a gubernatorial appointment and is not exempt. However, the full disclosure with category 1 remains since the incumbent is broadly involved in programmatic decision making.</p>

Office of Legislative and Regulatory Affairs	The <u>Assistant Director (Exempt)</u> position has been renamed, with no changes to the job functions or disclosure categories.
Public Affairs Office	<p>The <u>Assistant Director of Communications (Exempt)</u> is assigned to Category 1.</p> <p>The incumbent is a member of the Executive Office works closely with the Director, Chief Deputy, and Division Directors.</p> <p>The incumbents have broader and more indefinable duties, which may at times involve decisions 's job duties are not directly related to programmatic decisions.</p> <p>Category 1 is appropriate because the incumbents will make or participate in making decisions that may have a material effect on the broadest array of financial interests.</p>
Division of Land Resource Protection	The <u>Division Director, Division of Land Resource Protection (CEA)</u> has been renamed. This position is a CEA position and not exempt as a gubernatorial appointment. There have been no changes to the job functions or disclosure categories.
Division of Administration	The <u>Staff Services Manager III (Deputy Assistant Director)</u> position has been renamed, with no changes to the job functions or disclosure categories.
Fiscal Services (Accounting and Budget), now Accounting Office and Budget Office	<p>The <u>Staff Services Manager (all levels)</u>, <u>Staff Services Analyst</u>, <u>Accounting Administrator (all levels)</u>, <u>Senior Accounting Officer</u>, <u>Accounting Officer (all levels)</u>, <u>Associate Budget Analyst</u>, <u>Associate Governmental Program Analyst</u>, and <u>Associate Accounting Analyst</u> have been moved under a different category, but have not been deleted or changed.</p> <p>The purpose of the chart reorganization is to break the Fiscal Services title into its two constituent groups -- the Accounting Office and the Budget Office. There have been no changes to the job functions or disclosure categories.</p>
Enterprise Technology Services	The <u>Chief Information Officer (CEA)</u> title has been corrected.

Department	
California Geological Survey	<p>The new <u>Branch Chief – Watershed and Climate Resiliency</u>, and <u>Branch Chief – Seismic Hazard Monitoring and Preparedness</u> are assigned to the broadest disclosure category, Category 1.</p> <p>The incumbents have direct program management responsibility, including developing work plans, goals, and performance metrics for the California Geological Survey. The incumbents will make policy and high level decisions for the division.</p> <p>Category 1 is appropriate because the incumbents will make or participate in making decisions that may have a material effect on the broadest array of financial interests.</p>
California Geological Survey	<p>The new <u>Senior Environmental Scientist (Supervisor)</u>, <u>Environmental Scientist</u>, and <u>Senior Telecommunications Engineer</u> are assigned to Categories 3 and 7.</p> <p>The incumbents participate in decisions involving guidelines, rules, and policies that may affect real property and business entities. The incumbents also participate in procurement (goods and services) decisions.</p> <p>Category 3 is appropriate because it requires disclosure of interests in real property and business entities that may be affected by or subject to provisions, guidelines, rules, regulations, or policies adopted, enforced, or promulgated by the California Geological Survey.</p> <p>Category 7 is appropriate because it requires disclosure of interests in business entities that provide goods or services to the relevant division (in this case, the California Geological Survey).</p>
California Geological Survey	<p>The <u>Senior Geologist</u> has been deleted because this classification is no longer utilized by the Division.</p>
California Geologic Energy Management Division	<p>The <u>Chief Deputy of Field Operations (CEA)</u> and <u>Deputy Supervisor (CEA)</u> have been renamed. These positions are CEA positions and are not exempt as gubernatorial appointments. There have been no changes to the job functions or disclosure categories.</p>

California Geologic Energy Management Division	<p>The new <u>Enforcement Chief (CEA)</u> and <u>Well Abandonment Program Manager (CEA)</u> are assigned to Category 1. These are high level policy and planning level positions.</p> <p>The incumbents work closely with the Director, Chief Deputy, and Division Directors, and will frequently plan, implement, and support advanced scientific and data related projects to support DOC programs.</p> <p>The incumbents will make broad programmatic decisions that could impact a variety of interests.</p> <p>Category 1 is appropriate because the incumbents will make or participate in making decisions that may have a material effect on the broadest array of financial interests.</p>
California Geologic Energy Management Division	<p>The <u>Senior Oil and Gas Engineers (Supervisor or Specialist)</u> position has been amended to specify the position comprises two classifications, with no changes to the job functions or disclosure categories.</p>
California Geologic Energy Management Division	<p>The <u>Associate Oil and Gas Engineers</u> are now assigned to Categories 4 and 7.</p> <p>The incumbents participate in decisions involving guidelines, rules, and policies that may affect business entities. The incumbents also participate in procurement (goods and services) decisions.</p>
California Geologic Energy Management Division	<p>The <u>Oil and Gas Technician</u> has been deleted because this classification is no longer utilized by the Division.</p>
California Geologic Energy Management Division	<p>The <u>Research Data Manager</u> position has been renamed, with no changes to the job functions or disclosure categories.</p>

<p>California Geologic Energy Management Division</p>	<p>The new <u>Staff Services Management Auditor</u> position is assigned to Categories 4 and 7. The incumbent participates in decisions involving guidelines, rules, and policies that may affect real property and business entities. The incumbent also participates in procurement (goods and services) decisions.</p> <p>Category 4 is appropriate because it requires disclosure of interests in real property and business entities that may be affected by or subject to provisions, guidelines, rules, regulations, or policies adopted, enforced, or promulgated by the California Geologic Energy Management Division. \</p> <p>Category 7 is appropriate because it requires disclosure of interests in business entities that provide goods or services to the relevant division (in this case, the California Geologic Energy Management Division).</p>
<p>State Mining and Geology Board</p>	<p>The <u>Members, State Mining and Geology Board (Exempt); Executive Officer / Special Representative, State Mining and Geology Board (Exempt); Senior Engineering Geologist, State Mining and Geology Board; and Staff Services Analyst, State Mining and Geology Board</u> have been moved to the end under a new specific heading, but have not been deleted or changed.</p> <p>The purpose of the chart reorganization is to distinguish between the Department of Conservation and the State Mining and Geology Board which is housed within DOC but largely independent.</p> <p>The <u>Engineering Geologist</u> has been added for to this list and assigned to Categories 2,3,4,5,6, and 7. The incumbent participates in decisions involving guidelines, rules, and policies that may affect certain real property and business entities.</p> <p>Disclosure Categories 2, 5, and 7 require disclosure of financial interests in entities that provide goods and services to the Department and its divisions, while Categories 3, 4, and 6 require disclosure of interests that could be affected by the regulations and policies of the different divisions. The disclosure categories are appropriate given the incumbents' roles in enforcement and regulatory decisions.</p>